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NOTICE OF ALLOWANCE AND FEE(S) DUE

36378

09/17/2008

VMWARE, INC. DARRYL SMITH 3401 Hillview Ave. PALO ALTO, CA 94304

EXAMINER SAXENA, AKASH

PAPER NUMBER

ART UNIT 2128

DATE MAILED: 09/17/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/782,092	02/18/2004	Timothy P. Mann	A42	4767

TITLE OF INVENTION: METHOD AND APPARATUS FOR EMULATING MULTIPLE VIRTUAL TIMERS IN A VIRTUAL COMPUTER SYSTEM

WHEN THE VIRTUAL TIMERS FALL BEHIND THE REAL TIME OF A PHYSICAL COMPUTER SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$0	\$0	\$1440	12/17/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
36378 VMWARE, IN DARRYL SMIT 3401 Hillview A	Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the States Postal Service with sufficient postage for first class mail in an eaddressed to the Mail Stop ISSUE FEE address above, or being fatransmitted to the USPTO (571) 273-2885, on the date indicated below.					deposited with the United t class mail in an envelope above, or being facsimile		
PALO ALTO, C	CA 94304							(Depositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR		ATTO:	RNEY DOCKET NO.	CONFIRMATION NO.
10/782,092	02/18/2004	•	Timothy P. Mann				A42	4767
		PARATUS FOR EMULA D THE REAL TIME OF				A VIR	TUAL COMPUTER S	SYSTEM
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	Œ	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$0	_	\$0		\$1440	12/17/2008
EXAM	IINER	ART UNIT	CLASS-SUBCLASS					
SAXENA	, AKASH	2128	703-023000					
PLEASE NOTE: Un	registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. N THE PATENT (print or type) the data will appear on the patent. If an assignee is identified below, the document has been filed for							
(A) NAME OF ASSI	GNEE	pletion of this form is NO	(B) RESIDENCE: (C.	ITY	and STATE OR C		,	up entity 🗖 Government
4a. The following fee(s) ☐ Issue Fee ☐ Publication Fee (N ☐ Advance Order -	4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).							
5. Change in Entity Sta	itus (from status indicate as SMALL ENTITY statu		☐ b. Applicant is no	long	er claiming SMA	LL ENT	TITY status. See 37 CF	TR 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	ed from anyone other the c Office.	an th	e applicant; a regi	stered a	ttorney or agent; or the	e assignee or other party in
Authorized Signature					Date			
Typed or printed name			Registration No.					
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 dapplication form to the ions for reducing this bu. Virginia 22313-1450. DC	OFR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the DNOT SEND FEES OR	on is required to obtain 1.14. This collection is depending upon the ir e Chief Information Of COMPLETED FORMS	or re esti- idivi ficer S TO	etain a benefit by t mated to take 12 p dual case. Any co r, U.S. Patent and THIS ADDRESS	he publ minutes mment Traden S. SENI	ic which is to file (and to complete, including s on the amount of tim tark Office, U.S. Depa O TO: Commissioner for	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,

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10/782,092 02/18/2004		Timothy P. Mann	A42 4767	
36378 75	590 09/17/2008		EXAM	INER
VMWARE, INC			SAXENA	, AKASH
DARRYL SMITH		ART UNIT	PAPER NUMBER	
3401 Hillview Ave PALO ALTO, CA			2128 DATE MAILED: 09/17/200	8

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 659 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 659 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
	10/782,092	MANN, TIMOTHY P.
Notice of Allowability	Examiner	Art Unit
	AKASH SAXENA	2128
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	ears on the cover sheet w (OR REMAINS) CLOSED or other appropriate comm	ith the correspondence address in this application. If not included nunication will be mailed in due course. THIS
of the Office or upon petition by the applicant. See 37 CFR 1.313		Subject to withdrawar norm issue at the initiative
1. This communication is responsive to <u>9/3/08</u> .		
2. \boxtimes The allowed claim(s) is/are $\underline{2-4,6-10,16-18,20-22,24,25}$ and	<u>d 27-29</u> .	
3. \square Acknowledgment is made of a claim for foreign priority ur	der 35 U.S.C. § 119(a)-(d)	or (f).
a) ☐ All b) ☐ Some* c) ☐ None of the:		
 Certified copies of the priority documents have 		
Certified copies of the priority documents have	• •	
3. Copies of the certified copies of the priority do	cuments have been receive	ed in this national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.	
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Revie	w (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment o	or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the		
6. DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT		
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. ☐ Notice of I	nformal Patent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview S	Summary (PTO-413),
3. Information Disclosure Statements (PTO/SB/08),	Paper No 7.	./Mail Date s Amendment/Comment
Paper No./Mail Date 4.	8. ⊠ Examiner's	s Statement of Reasons for Allowance
or biological iviaterial	9. ☑ Other <u>Ame</u>	endment filed 9/3/08 is entered.



Application No.

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DETAILED ACTION

1. Claims 2-4, 6-10, 16-18, 20-22 and 24-25 and 27-29 are presented for examination on 9/3/2008 after the final action.

- 2. Amendment filed 9/3/08 is entered.
- 3. Claims 1, 11-15 and 23 are cancelled with this after final amendment, rendering rejection under 35 USC 103 moot and withdrawn by the examiner.
- 4. Rejection under 35 USC 112¶1st for claim 1-29 is withdrawn in view of amendment to remaining independent claims 2, 3, 16 and 24.
- Rejection under 35 USC 112¶2nd for claims 1-29 is also withdrawn in view of claim amendment to claims 1 and arguments presented for claim 2-6, 16, 17-18, 20, 24, 25 and 27 with regards to applicant's interpretation of substantially (In remarks dated 9/3/08 Pg. 11-12) and examiner cited specification ¶[0064]-[0068].
- 6. Claims 2-4, 6-10, 16-18, 20-22, 24-25 and 27-29 are allowed.

Allowable Subject Matter

7. The following is a statement of reasons for the indication of allowable subject matter: claims 2-4, 6-10, 16-18, 20-22, 24-25 and 27-29 are considered allowable since when reading the claims in light of the specification, none of the references of record alone or in combination disclose or suggest the combination of limitations specified in the independent claims, specifically, wherein a catch-up mode is used when the generation of timer events in the virtual computer system is behind the real time and wherein, when the catch-up mode is used, the average rate of timer events in the virtual computer system exceeds the average rate at which timer events are set to

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occur according to the real time as disclosed in independent claims 1 & 3 defined at e.g., Specification ¶[0064]-[0068] & Figures 3A-B.

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- 8. Further claim 16 discloses allowable matter, since when reading the claims in light of the specification, none of the references of record alone or in combination disclose or suggest the combination of limitations specified in the independent claims, specifically, wherein the time coordinator has a catch-up mode that is used when the generation of timer events in the virtual computer system is behind the real time, and a normal mode that is used when the generation of timer events in the virtual computer system is caught up to the real time, wherein, when the time coordinator is in the catch-up mode, the average rate of timer events in the virtual computer system exceeds the average rate at which timer events are set to occur according to the real time as disclosed in independent claims 16 defined at e.g., Specification ¶[00641-[0068] & Figures 3A-B.
- 9. Further clam 24 discloses allowable matter, since when reading the claims in light of the specification, none of the references of record alone or in combination disclose or suggest the combination of limitations specified in the independent claims, specifically, when the apparent time is behind the real time, generating timer events at a faster rate than the timer events are set to occur according to the real time, until the apparent time catches up to the real time as disclosed in independent claims 24 defined at e.g., Specification ¶[0064]-[0068] & Figures 3A-B.
- 10. A practical application for the invention is disclosed on page [0004].

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11. The Prior art reference Russell (US Patent No. 6,349,388) merely teaches normal

mode of operation (Russell: Col.5 Lines 42-47 Fig. 2 Element 210) and virtual timer

programming (Col.5 Lines 48—Col.6 Line 13; Fig.3), but fails to teach when virtual

timers fall behind (e.g. like in virtual machine suspension in instant application

Fig.3B).

12. The Prior art reference Hirosawa (US Patent No. 4,814,975) teaches teach when

virtual timers fall behind (Hirosawa: Col.12 Lines 58-Col.14 Lines 16), but does not

speed the execution after the restore event to catch-up to the current time as

detailed in the instant specification [0064]-[0068].

13. Any comments considered necessary by applicant must be submitted no later than

the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments

on Statement of Reasons for Allowance."

/Kamini S Shah/

Supervisory Patent Examiner, Art Unit 2128

/Akash Saxena/

Examiner, Art Unit 2128